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Date: January 23, 2007

Name: Sherry B. Visintainer

Signature:

*Sherry B. Visintainer*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of John W. Evans, et al.

Serial No.: 09/935,982

Filing Date: August 23, 2001

Title: Non-Aqueous Heat Transfer Fluid and Use Thereof

) Examiner: Gregory R. Delcotto

) Confirmation No.: 2268

) Art Unit: 1751

) Docket No.: 97541.00011  
(formerly 290397.0011)

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In accordance with 37 C.F.R. § 1.56, the materials listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. This Information Disclosure Statement is being filed to disclose references cited in a Japanese Patent Office Action issued in a corresponding Japanese patent application. A copy of a translation of the Japanese Office Action is also provided. Where available, corresponding English language abstracts are provided. Certain references cited in the Japanese Office Action have not been cited in this Information Disclosure Statement because they have previously been cited in this application. Those references are Reny, WO89/09806; Japanese Patent Application No. 2002-510606 (which corresponds to U.S. Application Serial No. 09/877,306), and Hu, WO98/46695 (which corresponds to U.S. Patent No. 5,817,252, previously cited).

Pursuant to the waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i), copies of the U.S. Patent and U.S. Patent Application references set forth and cited on form PTO/SB/08 are not enclosed herewith.

It is respectfully requested the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08 with initials or other appropriate marks.

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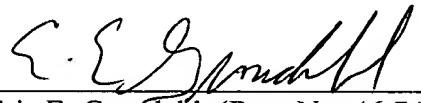
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In accordance with 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made; an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b); or an admission that the information cited in the statement is, or is considered to be, prior art.

A first Office Action has issued in this application; however, prosecution has not yet closed. Therefore, pursuant to § 1.97(c), authorization is hereby given to charge the \$180.00 fee associated with the submission of an Information Disclosure Statement to Deposit Account No. 50-3569. If any additional fee is required, please accept this as authorization to charge Deposit Account No. 50-3569.

Respectfully submitted,

Date: January 23, 2007

By:   
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/935,982
				Filing Date	August 23, 2001
				First Named Inventor	John W. Evans, et al.
				Art Unit	1751
				Examiner Name	Del Cotto, Gregory R.
				Attorney Docket Number	97541.00011 (formerly 290397.0011)
Sheet	1	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 5,273,673	12/28/1993	Samir S. Ashrawi, et al.	
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	International Publication No. WO95/07323	03/16/1995	Evans Cooling Systems, Inc.		
	2	International Publication No. WO96/37570	11/28/1996	Evans Cooling Systems, Inc.		
	3	Japanese Patent Kokai Publication No. 55-125181	09/26/1980	Wako Pure Chem Ind. Ltd., et al.		
	4	Japanese Patent Kokai Publication No. 54-155985	12/08/1979	Daihatsu Motor Co., Ltd.		
	5	Japanese Patent Kokai Publication No. 06-033274	02/08/1994	First Brands Corporation		
	6	Japanese Patent Kokai Publication No. 08-183950	07/16/1996	Tokyo Fine Chem KK		
	7	Japanese Patent Kokai Publication No. 06-158034	07/06/1994	Texaco Chemical Company		
	8	Japanese Patent Kokai Publication No. 08-085782	04/02/1996	Nippon Chem Kogyo KK		
	9	Japanese Patent Kokai Publication No. 03-031388	02/12/1991	Nippon Oil Co. Ltd.		

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Sheet	2	of	2	Attorney Docket Number	97541.00011 (formerly 290397.0011)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue numbers(s), publisher, city and/or country where published.	T <sup>2</sup>
		Translation of Official Action in Japanese Patent Application No. 2002-512315	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application for to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.